

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard E. Smalley et al                  Art Unit : 1773  
Serial No. : 09/935,995                  Examiner : Hoa T. Le  
Filed : August 23, 2001                  Conf. No. : 1538  
Title : POLYMER-WRAPPED SINGLE-WALL CARBON NANOTUBES

AMENDMENT UNDER 37 C.F.R. § 1.111

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This is in response to the Office Action ("Office Action") having a mailing date of October 2, 2006, with a three-month shortened statutory period for response set to expire on January 3, 2007.<sup>1</sup> Please amend the above-identified Application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

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<sup>1</sup> President Bush has issued an Executive Order closing executive agencies on Tuesday, January 2, 2007 designating it as a National Day of Mourning in respect for former President Gerald R. Ford. The USPTO has issued the following statement on the USPTO's homepage ([www.uspto.gov](http://www.uspto.gov)):

In view of the official closing of the Federal Government offices in the Washington, D.C. metropolitan area, including the United States Patent and Trademark Office (USPTO), on Tuesday, January 2, 2007, the day proclaimed by President Bush as a National Day of Mourning, the United States Patent and Trademark Office will consider Tuesday, January 2, 2007 a "Federal holiday within the District of Columbia" under 35 U.S.C. § 21 and 37 C.F.R. §§ 1.6, 1.7, 1.9, 2.2(d), 2.195, and 2.196. Any action or fee due on Tuesday, January 2, 2007, will be considered as timely for the purposes of, e.g., 35 U.S.C. §§ 119, 120, 133 and 151, if the action is taken, or the fee is paid, on the next succeeding business day on which the USPTO was open, that is, Wednesday, January 3, 2007.